

Branch Code Information – Please provide a list of all the branch codes utilized in the stock record that your firm is providing.

If the branch code is for a correspondent firm, please include that firm's CRD number in the CRD column, add the firm's name in the Correspondent Name column, and use N in the Internal Branch column.

Each field in the template should be populated as follows:

branch_code – The identifier used in the stock record for a specific internal branch or correspondent firm.

branch_description – The location of the internal branch or name of the correspondent firm.

crd – The CRD number of the correspondent firm, if applicable. Do not provide the branch CRD number.

correspondent_name – The name of the correspondent firm.

internal_branch_y-n – Indicate “y” if the branch_code is for an internal branch and indicate “n” if the branch_code represents a correspondent firm.