

DTCC Firm Account Mapping – Please provide a list and mapping of the firm’s depository accounts to the list of standard DTCC accounts. If your stock record contains account numbers that don’t map to one of these standard DTCC accounts, do not include them in this file.

Each field in the template should be populated as follows:

firm_account – Provide the firm’s account number for the depository account at the DTCC.

dtcc_account – Identify the corresponding standard account number at the DTCC. Select from one of the following standard DTCC account numbers associated with the account descriptions:

DTCC Account Number	DTCC Account Description
10	Free
14	Pledge
18	Investment ID
22	Segregation
26	Call Lottery with Interest
28	Call Lottery without Interest
40	Withdrawal by Transfer
I14	IPO Pledge
IPO	Initial Public Offering
MS	Maturity Swept
MSG	Memo Segregation
RPO	Repo
RRG	Reorganization
SLN	Stock Loan

dtcc_account_description – Include a description of the firm’s DTCC account.

excluded_yn – Select “NO” if the position **is** held at the DTCC (for example 10). Select “YES” if the position **is not** held at the DTCC (for example MSG).