

**Grand Total Algorithm** – when the firm’s Stock Record data is split across multiple Stock Record files, indicate how the firm provides the Grand Total on each XML file.

*Populate the template with one of the two below methods.*

**SUM** – The Grand Totals in the individual SR files sum to the true Grand Total for the complete SR. Either:

- a. The Grand Total reflects the total of each SR file **individually**, where the **sum** of the Grand Totals across all the SR files reflects the true Grand Total for the complete SR;

OR

- b. The Grand Total is included in **only one** SR file, where the Grand Total in all other files is zero; again, the **sum** of the Grand Totals across all the SR files reflects the true Grand Total for the complete SR.

**EVERY** – Each and **every** SR file contains the same Grand Total number, and that Grand Total number reflects the true Grand Total across all the SR files.

**Debt Pricing Method** – indicate how the firm derives the market value of debt securities: with no calculation or by dividing the market value or price by 100. The method used should be applied consistently for Security Types 1-10 and anywhere debt securities are reported.

*Populate the template with one of the following three possible values:*

**NONE** – no calculation is performed by the firm in deriving the market value of debt securities.

**MV/100** – the firm divides the **market value** by 100 in deriving the market value of debt securities.

**Price/100** – the firm divides the **price** by 100 in deriving the market value of debt securities.