

# Interpretation Memo



New York  
Stock Exchange, Inc.

20 Broad Street  
New York, NY 10005

Member Firm Regulation

Number 00-6  
September 12, 2000

**TO: MEMBER, MEMBER ORGANIZATIONS AND HANDBOOK SUBSCRIBERS**

**SUBJECT: UPDATE OF INTERPRETATION HANDBOOK -SEA RULE 15C3-1 and  
SEA RULE 15C3-3**

The accompanying updated Interpretation Handbook pages are being distributed as replacements for existing pages. The update includes clarification of an existing rules and new interpretations. These interpretations should be carefully reviewed before insertion into the handbook.

## **SEA Rule 15c3-1**

### **Page & Reference**

### **Subject**

148/012	Emerging Markets Clearing Corporation (EMCC)
150/024	Proprietary Accounts of Other Broker/Dealers
150/026	DVP/RVP Accounts
150/027	Piggyback Arrangements
151/028	Aged Commissions Receivable
179/01	Quasi-agency Securities

## **SEA Rule 15c3-3**

### **Page & Reference**

### **Subject**

695/Note 10	Total PAIB Debits
695/Note11	Customer Concentration

Questions regarding this interpretation memo should be directed to your Finance Coordinator.

**Remove Pages**

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**Add Pages**

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RETAIN ALL INTERPRETATION/INFORMATION MEMOS FOR FUTURE REFERENCE