

Interpretation Memo

New York Stock Exchange, Inc.
20 Broad Street
New York, NY 10005

Member Firm Regulation



Number 03-2
March 6, 2003

**PLEASE ROUTE TO FINANCIAL AND OPERATIONS OFFICER/PARTNER
AND COMPLIANCE DEPARTMENT**

**TO: MEMBER, MEMBER ORGANIZATIONS AND INTERPRETATION
HANDBOOK SUBSCRIBERS**

**SUBJECT: UPDATE OF INTERPRETATION HANDBOOK – SEA RULE 15c3-1 AND
SEA RULE 15c3-3**

The accompanying updated Interpretation Handbook pages are being distributed as replacements for existing pages. The update includes clarification of existing rules and/or new interpretations. These interpretations should be carefully reviewed before insertion into the handbook.

**SEA Rule 15c3-1
Page & Reference**

Subject

89, (b)(2)/031*	Error Transactions of Floor Brokers (RESCINDED)
89, (b)(2)/032**	Error Transactions of Floor Brokers
175, (c)(2)(vi)/13*	Error Transactions of Floor Brokers (RESCINDED)
175, (c)(2)(vi)/14**	Error Transactions of Floor Brokers

* Interpretation was previously rescinded as SEA Rule 15c3-1(a)(2)(vi)(B)/031 in Interpretation Memo 02-7.

** Interpretation was previously issued as SEA Rule 15c3-1(a)(2)(vi)(B)/032 in Interpretation Memo 02-7.

SEA Rule 15c3-3
Page & Reference

Subject

486, (a)(6)/012	Certificates of Deposit
488, (a)(6)/05	Certificates of Deposit Issued by a Parent or Affiliated Bank
544, (e)(1)/04	Borrowed Treasury Securities
546, (e)(1)/061	Cash Deposits into Reserve Bank Account
556, (g)/041	Multiple Withdrawals
695, Notes for PAIB Allocation (Note 9)	Commingled Collateral as OCC Margin Deposit (RESCINDED)
695, Notes for PAIB Allocation (Note 9A)	Commingled Collateral as OCC Margin Deposit

Questions regarding this interpretation memo should be directed to your Finance Coordinator.

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RETAIN ALL INTERPRETATION/INFORMATION MEMOS FOR FUTURE REFERENCE