

INTERPRETATION MEMO

Regulation and Surveillance

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TO:

MEMBERS, MEMBER ORGANIZATIONS AND INTERPRETATION HANDBOOK

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SUBJECT: INTERIM UPDATE TO RULE 15c3-3 ADDED INTERPRETATION

The accompanying interpretation relates to the conditions for good control locations for Money Market Funds carried by the fund or its agent in an account for the broker/dealer.

Filing Instructions

Insert New Page

SEC Rule 15c3-3

1059

Index revisions for the interpretations will be made with the next general update.