



N.Y. STOCK EXCHANGE

INTERPRETATION MEMO

New York Stock Exchange, Inc.
55 Water Street
New York, New York 10041

Regulation and Surveillance

Number 81-10

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TO: MEMBERS, MEMBER ORGANIZATIONS AND SUBSCRIBERS TO
THE INTERPRETATION HANDBOOK

SUBJECT: INTERPRETATION UPDATE TO SEC RULES 15c3-1, 15c3-3
AND 17a-3

The accompanying Handbook material includes new interpretations of Rules 15c3-1, 15c3-3 and 17a-3.

The reference numbers of the new items are as follows:

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SEC Rule 15c3-1

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