



N.Y. STOCK EXCHANGE

INTERPRETATION MEMO

New York Stock Exchange, Inc.
55 Water Street
New York, New York 10041

Regulation and Surveillance

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To Members, Member Organizations and Handbook Subscribers

Subject: Interpretation Update to SEC Rule 15c3-1 and 15c3-3

The accompanying Handbook material includes new interpretations of Rule 15c3-1 and modification to previously issued interpretations of Rule 15c3-3.

The reference numbers of the new and modified items are as follows:

* * *

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