



**N.Y. STOCK  
EXCHANGE**

# **INTERPRETATION MEMO**

New York Stock Exchange, Inc.  
55 Water Street  
New York, New York 10041

Regulation and Surveillance

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**TO: MEMBERS, MEMBER ORGANIZATIONS AND SUBSCRIBERS  
TO THE INTERPRETATION HANDBOOK**

**SUBJECT: INTERPRETATION UPDATE TO SEC RULE 15c3-1**

The accompanying Handbook material is a new interpretation of Rule 15c3-1 (c)(2)(iv)(E) relating to unsecured receivables and payables with the same party.

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