



# N.Y. STOCK EXCHANGE

# INTERPRETATION MEMO

New York Stock Exchange, Inc.  
55 Water Street  
New York, New York 10041

Regulation and Surveillance

Number 82-3  
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PLEASE ROUTE TO FINANCIAL/PARTNER AND COMPLIANCE  
AND MARGIN DEPARTMENTS

SUBJECT: INTERPRETATIONS UPDATE TO INTERPRETATIONS  
OF SEC RULE 15c3-1

The accompanying HANDBOOK Material represents a partial update reflecting changes in SEC Rule 15c3-1 and related interpretations up to June 1982.

The interpretations revised, added or eliminated were as follows:

<u>Page</u>	<u>Interpretation</u>	<u>Change</u>
101/102	(a)/04	Reduced requirement under alternate method.
136	(c)(2)(iv)/01	Requirement for Exchange approval.
137	(c)(2)(iv)/022	New
137-2	(c)(2)(iv)/06	New
139	(c)(2)(iv)/04	Repurchase Agreements eliminated it is now covered in subparagraph (c)(2)(iv)(F) of the rule and (c)(2)(iv)(F)/01 herein.
139	(c)(2)(iv)/05	Renumbered as /04
144	(c)(2)(iv)(E)/04	Reference changed to (c)(2)(iv)(F)
144-2	(c)(2)(iv)(F)/01	New
144-3	(c)(2)(iv)(G)/01	New
145	(c)(2)(V)/01	Revised to include graduated deductions for quarterly count differences.
146-2	(c)(2)(vi)/04	Rating service added
149	(c)(2)(vi)(A)/02	Increased haircut percentage
151	(c)(2)(vi)(A)/021	Increased haircut percentage
151	(c)(2)(vi)(A)/03	New
152	(c)(2)(vi)(B)/01	New
	(c)(2)(vi)(D)/01-/02	Deleted
155	(c)(2)(vi)(F)/01	New. Previous /01 renumbered /02

Filing Instructions

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