

# Interpretation Memo



New York  
Stock Exchange, Inc.

20 Broad Street  
New York, NY 10005

Member Firm Regulation

Number 92-4  
January 31, 1992

PLEASE ROUTE TO FINANCIAL AND OPERATIONS OFFICER/PARTNER  
AND COMPLIANCE DEPARTMENTS

TO: MEMBERS, MEMBER ORGANIZATIONS AND HANDBOOK SUBSCRIBERS

SUBJECT: UPDATE OF INTERPRETATION HANDBOOK FOR SEC RULE 15c3-1

The accompanying updated handbook pages are being distributed as replacements for existing pages. Included are several interpretations which have not been previously available and the following should be carefully reviewed before insertion into the handbook.

<u>Page &amp; Reference</u>	<u>Subject</u>
153 (c)(2)(iv)/07	Whole Loan Mortgages
162 (c)(2)(iv)(B)/071	Stock Loan Collateralized by a Letter of Credit
180 (c)(2)(iv)(E)/16	Compensating Balances Deposited With Others
183 (c)(2)(iv)(F)(2)/01	Reverse Repurchase Agreements Collateral
267 (c)(11)(i)/021	Mexican Stock Exchange Securities (MSE)
300 (c)(14)/01	Short Straddles - Applied to Unlisted Options on Treasuries

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