

Interpretation Memo

NYSE

New York
Stock Exchange, Inc.

Member Firm Regulation

Number 99-9
August 16, 1999

20 Broad Street
New York, NY 10005

**TO: MEMBER, MEMBER ORGANIZATIONS AND HANDBOOK
SUBSCRIBERS**

SUBJECT: UPDATE OF INTERPRETATION HANDBOOK -SEA RULE 17a-5

The accompanying updated Interpretation Handbook pages are being distributed as replacements for existing pages. The update includes clarification of an existing rule. This interpretation should be carefully reviewed before insertion into the handbook.

SEA Rule 17a-5

Page & Reference

Subject

823/(ii)/01 and (iii)/01

NYSE FOCUS Filing Due Dates

Questions regarding this interpretation memo should be directed to your Finance Coordinator.

Remove Pages
823-826

Add Pages
823-826

**RETAIN ALL INTERPRETATION/INFORMATION MEMOS FOR FUTURE
REFERENCE**