

I do not agree with FINRA changing the rules on Expungement. I had a meritless claim from a beneficiary of the account. The account was profitable and the lawyer settling the estate was so upset that he wrote the retraction letter for the client. The client was told by another broker to file a complaint and that she would get the losses back. There were no losses.

David W. Gamblin  
Vice President  
Financial Advisor

NMLS ID 1285388

## **Morgan Stanley Wealth Management**

8150 N. Wickham Rd Melbourne, FL 32940

Direct Line 321-253-6844

Office 321-255-2400

Toll free 800-949-2001

Fax 321-751-2060

Email [David.W.Gamblin@morganstanley.com](mailto:David.W.Gamblin@morganstanley.com)

Research on any stock is available upon request