Comments:

I can only speak from my current committee involvement experience on the IDIA Committee, but I feel that the FINRA staff finds this committee informative, enlightening and definitely interactive at a "prerule making" juncture.

I remember the District Committee (District 7) as basically a one-way information session of what rules were about to be introduced. A nice formality but definitely after the fact. Do not know if that is still the case as that was 5+ years ago.

Thanks, Richard Bryant

Richard K. Bryant, CEO Capital Investment Companies