

## Attachment A

### Candidate Profile Form—National Adjudicatory Council Election for a Small Firm NAC Member

Please complete all sections and email this document to [CorporateSecretary@finra.org](mailto:CorporateSecretary@finra.org). An electronic version of this form is also available at [www.finra.org/notices/election/081318](http://www.finra.org/notices/election/081318).

Name: \_\_\_\_\_ Date: \_\_\_\_\_

*(As you would like it to appear on official correspondence)*

#### Current Registration

Title/Primary Responsibility: \_\_\_\_\_

Firm Name: \_\_\_\_\_ Firm CRD#: \_\_\_\_\_

Individual CRD #: \_\_\_\_\_ Number of Registered Reps. at Firm: \_\_\_\_\_

#### Address

Street Address: \_\_\_\_\_ Suite/Floor: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Email: \_\_\_\_\_

Phone: \_\_\_\_\_

Provide a brief biography or summary of your qualifications to serve as a NAC member. The biography should contain only factual information, such as employment experience, service on other industry-related boards and committees, education credentials, etc. (The biography should be no more than 500 words.)