

## Attachment B

### Candidate Profile Form— District Committee Election

Please complete all sections on both pages and email this document to [CorporateSecretary@finra.org](mailto:CorporateSecretary@finra.org). Please note the second page will be made available publicly on our website. An electronic version of this form is also available at [www.finra.org/notices/DistrictElection/090215](http://www.finra.org/notices/DistrictElection/090215).

Name: \_\_\_\_\_ Date: \_\_\_\_\_

*(As you would like it to appear on official correspondence)*

#### Current Registration

Title/Primary Responsibility: \_\_\_\_\_

Firm Name: \_\_\_\_\_

Firm CRD#: \_\_\_\_\_ Individual CRD#: \_\_\_\_\_

FINRA District No.: \_\_\_\_\_ Number of Registered Reps. at Firm: \_\_\_\_\_

#### Address

Street Address: \_\_\_\_\_ Suite/Floor: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_

Email: \_\_\_\_\_

Phone: \_\_\_\_\_

#### District Committee Seat Sought

- Small Firm (150 or fewer registered representatives)
- Mid-Size Firm (151 to 499 registered representatives)
- Large Firm (500 or more registered representatives)

#### Eligibility (Check all that apply)

- Associated with FINRA member firm eligible to vote in the district for District Committee elections
- Work primarily from FINRA member firm's principal or branch office in the district of the District Committee sought
- Position

#### Registered as

- branch manager
- principal
- Denoted as a corporate officer of the FINRA member firm

#### INSTRUCTIONS FOR PAGE 2

**The information on the following page will be displayed on FINRA's District Committee election Web page as submitted. Please carefully review the information before submission.**

Provide a brief biography or summary of your qualifications to serve on the District Committee. You may want to consider including your employment experience, service on other industry-related boards and committees, etc. Provide a statement of why you are running for a seat on the District Committee and why firms should vote for you. (No more than 500 words.)

## Candidate Profile — District Committee Election

Candidate Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm: \_\_\_\_\_

### Candidate Biography and Personal Statement

#### Optional Links:

Link to personal website, resume or CV: \_\_\_\_\_

Link to YouTube video: \_\_\_\_\_