

# DESIGNATED PARTICIPANT AUTHORIZATION

under SEC Rule 605 (formerly 11Ac1-5)

Name:	
Title:	
Market Center Name & MPID:	
Mailing Address:	
E-mail Address:	
Telephone No.:	
Do you hereby authorize FINRA as the market center/trading venue's Designated Participant ("DP") under SEC Rule 605?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is this an initial authorization or an amendment to a previously filed authorization?	<input type="checkbox"/> INITIAL <input type="checkbox"/> AMENDMENT
Market Center's Download Site (URL):  _____	
Please specify a date for FINRA to add the URL as indicated above on the Rule 605 Web site:	Date:
Signature:	Date:
<b><i>For Administrator Use</i></b>	
Market Center Identification Code:	Date:
Employee:	

## RETURN FORM TO:

9509 Key West Avenue, Rockville, MD 20850, Attn: FINRA Market Regulation - 4th Floor  
or Email: 605DPSubmissions@finra.org

***NOTE: Please type or print in black ink.***

Revised: 0(-0\*-1+