



Table below represents list and schedule for when features have been added to the Request Manager, located in the Information Requests tab on Firm Gateway, as of March 24, 2018.

<u>System Component</u>	<u>Release Date</u>	<u>Description of the Change</u>	<u>Related Section in the User Guide</u>
Regulatory Coordinator Requests	03/24/2017	Regulatory Coordinator requests are now published and available via the Request Manager application in Firm Gateway.	Regulatory Coordinator Requests
“No Response” Request Status	01/15/2018	New status, which allows FINRA to send information to member firms, without requiring or allowing any response to be provided in return.	“No Response” Request Status
Blotter Requests Processing	06/02/2017	Changes to support streamlined processing of “P&S Blotter” and “Order Blotter Records Request” requests.	Blotter Requests and Submissions
Bluesheet Requests	06/25/2016	<p>FINRA Bluesheet requests will now be displayed through the Request Manager feature of Firm Gateway within the Information Request tab.</p> <p>Benefits include:</p> <ul style="list-style-type: none"> • A common experience for more FINRA Information Requests • Faster feedback on Bluesheet submissions • The Activity section for Bluesheet submissions, which provides a history of all actions taken by firms and FINRA on a given request <p>A new “Continuous Submission” state has been introduced for Bluesheet requested items. This state will allow member firms to continuously submit files, even for items in a Submitted status. The</p>	Refer to the Bluesheet Submissions and Flags – Continuous Submission sections of the User Guide.

		Continuous Submission item state is only available for Bluesheet requests.	
File Upload Widget	06/25/2016	<p>The new file upload widget provides status on files being uploaded through Request Manager.</p> <p>Note: <i>The user experience with the new file uploader is optimized for modern browsers. We strongly recommend users avoid using older browsers such as Internet Explorer® (IE) 7, IE8, and IE9 for performance and security reasons as those are no longer supported by Microsoft.</i></p>	Refer to the File Upload Widget section of the User Guide.
Partial Submission	10/23/2015	Member firms are able to submit documents continuously until “Complete Submission” is indicated. Partial Submissions will not satisfy the due date.	Please review the October 2015 User Guide for information regarding Partial Submission.
Partially Submitted Filter	10/23/2015	Quickly navigate to view items you have partially submitted.	Refer to the User Guide.
Email Notification Improvements	10/23/2015	New Record Request Notification and Daily Information Request Digest emails include direct links to each request, FINRA contact information and are delivered from the noreply@finra.org email address.	Refer to the Email Notification section in the User Guide.
Direct Links in CSV Export	10/23/2015	Firm users have direct links to the requested item in the CSV file	Refer to the Exporting a List of Items section in the User Guide.