



Organization Queues provide the mechanism for the CRD system to notify firms about current firm and branch deficiencies, registration withdrawal or termination, and firms retiring from their simultaneous filing group.

 **IMPORTANT NOTE:**

All classic CRD features should now be accessed through [FINRA Gateway](#), the new FINRA reporting and compliance platform. This new system is designed to focus on compliance functions, such as research, reporting and responding to requests, rather than focusing on the specific system you would access to fulfill your requirements.

If you have any questions or feedback regarding the transition to FINRA Gateway, please contact FeedbackFINRAGateway@finra.org.

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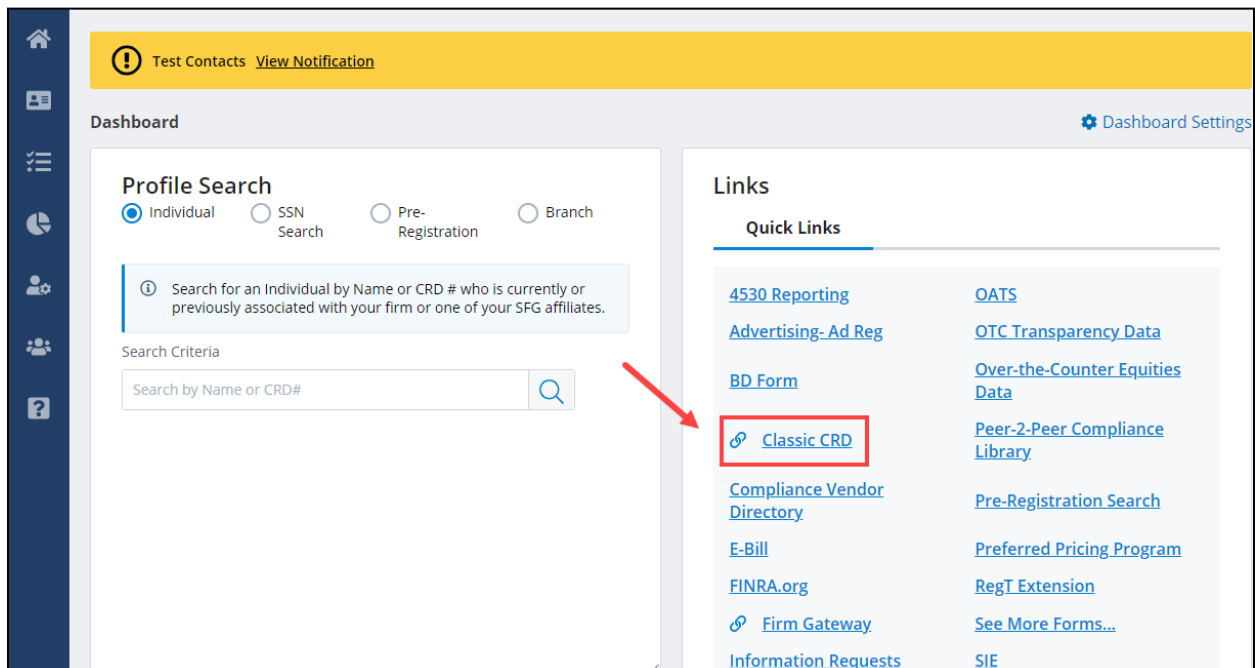
Need help with CRD? Call the FINRA Gateway Call Center at **301-869-6699**
8 A.M. - 8 P.M., ET, Monday-Friday.

Logging in Through FINRA Gateway

As of August 21, 2021, the previously used Firm Gateway homepage has been retired and users should access all registration applications via the new [FINRA Gateway](#). To access FINRA Gateway:

1. Go to <https://gateway.finra.org>, and
2. Enter your existing CRD user ID and password.

Once you have successfully logged into FINRA Gateway, you can navigate to classic CRD using the **Quick Links** widget on the dashboard. Select **Classic CRD** from the list of links and then follow along with the rest of this guide.



The screenshot displays the FINRA Gateway dashboard. At the top, there is a yellow notification bar with a warning icon and the text "Test Contacts View Notification". Below this is a "Dashboard" header with "Dashboard Settings" on the right. The main content area is divided into two sections: "Profile Search" and "Links".

The "Profile Search" section includes radio buttons for "Individual" (selected), "SSN Search", "Pre-Registration", and "Branch". Below these is a search criteria box with the text "Search by Name or CRD#" and a search icon.

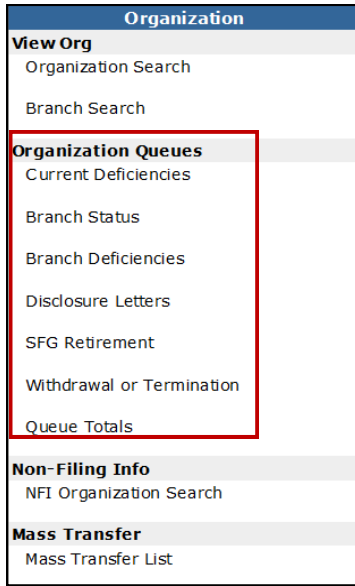
The "Links" section is titled "Quick Links" and contains a grid of links. A red box highlights the "Classic CRD" link, and a red arrow points to it from the search criteria box.

Quick Links	
4530 Reporting	OATS
Advertising- Ad Reg	OTC Transparency Data
BD Form	Over-the-Counter Equities Data
Classic CRD	Peer-2-Peer Compliance Library
Compliance Vendor Directory	Pre-Registration Search
E-Bill	Preferred Pricing Program
FINRA.org	RegT Extension
Firm Gateway	See More Forms...
Information Requests	SIE

Accessing Organization Queues

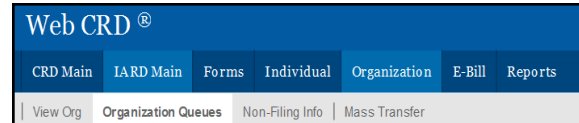
There are two ways to access Organization Queues in CRD:

1. From the **Organization** column on the CRD Site Map, click on the desired organization queue.



OR

1. Click the **Organization** tab from the toolbar.
2. Click **Organization Queues** from the sub-menu.



NOTE: The system will automatically default to the *Current Deficiencies* screen, and the Organization Queues can be accessed from the left navigation panel.

Description of Organization Queues

Current Deficiencies: Displays the firm's current Form BD and BDW deficiencies by filing date. The number of days deficient is also displayed, with a link to obtain deficiency detail information. Notices remain in the queue until the deficiency is satisfied.

Branch Status: Lists all participating jurisdiction and SRO branch office statuses for a firm.

Branch Deficiencies: Displays any deficiencies for branch offices. Notices remain in the queue until the deficiency is satisfied.

Disclosure Letters: Displays Organization Disclosure alerts sent to the firm.

SFG Retirement: Displays firms retiring from their simultaneous filing group, by date of retirement. Notices remain in the queue for 120 days.

Withdrawal or Termination: Displays the firm's withdrawal or termination notices by effective date. The screen includes the regulator reason and the effective date. Notices remain in the queue for 120 days.

Queue Totals: Displays a list of all of the queues, with the number of items in each.

Notices
▪ Current Deficiencies
▪ Branch Status
▪ Branch Deficiencies
▪ Disclosure Letters
▪ SFG Retirement
▪ Withdrawal or Termination
▪ Queue Totals