Web CRD

Firm Queues for Organizations

The Firm Queues for Organizations provides the mechanism for Web CRD® to notify firms about current firm and branch deficiencies, registration withdrawal or termination, and firms retiring from their simultaneous filing group.

To see how queues are being transformed in new CRD, please visit www.finra.org/newcrd.

Access Web CRD

Web CRD can be accessed (2) ways:
1. FINRA Firm Gateway at —> https://firms.finra.org, or
2. Directly at —> https://crd.finra.org

Enter your User ID and Password.
If you access via FINRA Firm Gateway, click on the Web CRD tab.
Once you have accessed Web CRD, you may navigate through the system as needed.

Accessing Firm Queues

There are two ways to access Firm Queues for Organizations in Web CRD:

1. From the Organization section of the Web CRD Site Map, click on the desired organization queue.

   1. Click the Organization tab from the toolbar.

2. Click Organization Queues from the sub-menu.

   NOTE: The system will automatically default to the Current Deficiencies screen, where the Organization Queues can be accessed.

Questions on Web CRD? Call the FINRA Gateway Call Center at 301-869-6699
8 A.M. - 8 P.M., ET, Monday-Friday.
Description of Firm Queues

**Current Deficiencies:** Displays the firm’s current Form BD and BDW deficiencies by filing date. The number of days deficient is also displayed, with a link to obtain deficiency detail information. Notices remain in the queue until the deficiency is satisfied.

**Branch Status:** Lists all participating jurisdiction and SRO branch office statuses for a firm.

**Branch Deficiencies:** Displays any deficiencies for branch offices.

**Disclosure Letters:** Displays Organization Disclosure alerts sent to the firm.

**SFG Retirement:** Displays firms retiring from their simultaneous filing group, by date of retirement. Notices remain in the queue for 120 days.

**Withdrawal or Termination:** Displays the firm’s withdrawal or termination notices by effective date. The screen includes the regulator reason and the effective date. Notices remain in the queue for 120 days.

**Queue Totals:** Displays a list of all of the queues, with the number of items in each.