



Classic CRD

Firm Queues: Organization Queues

Organization Queues provide the mechanism for the CRD system to notify firms about current firm and branch deficiencies, registration withdrawal or termination, and firms retiring from their simultaneous filing group.



IMPORTANT NOTE:

All classic CRD features should now be accessed through [FINRA Gateway](#), the new FINRA reporting and compliance platform. This new system is designed to focus on compliance functions, such as research, reporting and responding to requests, rather than focusing on the specific system you would access to fulfill your requirements.

Additionally, firms can use FINRA Gateway's [Requests and Dynamic Reporting](#) features to accomplish the same tasks previously done using CRD queues.

If you have any questions or feedback regarding the transition to FINRA Gateway, please contact FeedbackFINRAGateway@finra.org.

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Need help with CRD? Call the FINRA Gateway Call Center at **301-869-6699**
8 A.M. - 8 P.M., ET, Monday-Friday.

Logging in Through FINRA Gateway

Beginning in Summer 2020, the new [FINRA Gateway](https://gateway.finra.org) will become the primary method for accessing FINRA systems. To access FINRA Gateway:

1. Go to <https://gateway.finra.org>, and
2. Enter your existing CRD user ID and password.

Once you have successfully logged into FINRA Gateway, you can navigate to classic CRD using the **Quick Links** menu on the lower-left. Select **Classic CRD** from the list of links and then follow along with the rest of this guide.

The screenshot shows the FINRA Gateway interface. On the left is a dark blue sidebar with icons for Home, Profiles, Requests, Reports, and Support. The main area has a 'Search' section at the top with a 'Type' dropdown set to 'Individual' and a 'Search Criteria' input field. Below this is a 'Requests' section with a 'Lists' dropdown set to 'All (307)'. A table of requests is displayed with columns: Request Type, Details, Created, Due, and Category. A red box highlights the 'Quick Links' icon in the sidebar, and a red arrow points from it to the 'Quick Links' section below.

Request Type	Details	Created	Due	Category
Find out response time to complete		06/19/2020	06/19/2020	
FOCUS - Part II Monthly	Part II Monthly FOCUS Filing for J...	12/31/2015	02/24/2016	Financial / Operational
FOCUS - SIS Monthly	SIS Monthly FOCUS Filing for Jan...	01/31/2016	02/29/2016	Financial / Operational
FOCUS - SIS Monthly	SIS Monthly FOCUS Filing for Feb...	02/29/2016	03/29/2016	Financial / Operational
FOCUS - Part II Monthly	Part II Monthly FOCUS Filing for F...	01/31/2016	03/23/2016	Financial / Operational
FOCUS - Part II Monthly	Part II Monthly FOCUS Filing for ...	12/31/2015	04/25/2016	Financial / Operational
FOCUS - Custody Quarterly	Custody Quarterly FOCUS Filing f...	12/31/2015	04/25/2016	Financial / Operational
FOCUS - SIS Monthly	SIS Monthly FOCUS Filing for Mar...	03/31/2016	04/28/2016	Financial / Operational

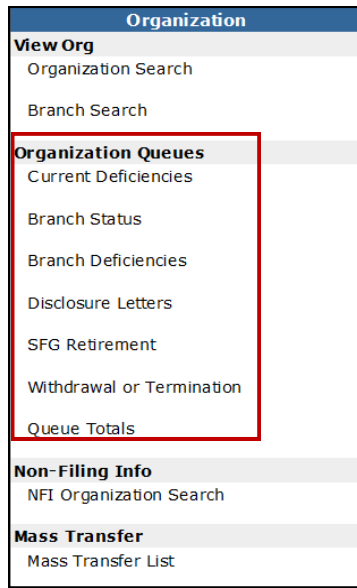
The screenshot shows the 'Quick Links' section. At the top, there are two buttons: 'FIRM GATEWAY' and 'CLASSIC CRD'. The 'CLASSIC CRD' button is highlighted with a red box and a red arrow. Below these buttons is a list of links organized in two columns.

Quick Links	
FIRM GATEWAY	CLASSIC CRD
Advertising- Ad Reg	BD Form
E-bill	Exam Waiver Form
eFocus	IA Amend form (ADV Amend)
RegT Extension	NewCRD
Report Center	Pre-Registration Search
Shorts	NRF Form
See More Forms...	SIE

Accessing Organization Queues

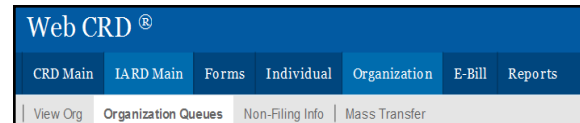
There are two ways to access Organization Queues in CRD:

1. From the **Organization** column on the CRD Site Map, click on the desired organization queue.



OR

1. Click the **Organization** tab from the toolbar.
2. Click **Organization Queues** from the sub-menu.



NOTE: The system will automatically default to the *Current Deficiencies* screen, and the Organization Queues can be accessed from the left navigation panel.

Description of Organization Queues

Current Deficiencies: Displays the firm's current Form BD and BDW deficiencies by filing date. The number of days deficient is also displayed, with a link to obtain deficiency detail information. Notices remain in the queue until the deficiency is satisfied.

Branch Status: Lists all participating jurisdiction and SRO branch office statuses for a firm.

Branch Deficiencies: Displays any deficiencies for branch offices. Notices remain in the queue until the deficiency is satisfied.

Disclosure Letters: Displays Organization Disclosure alerts sent to the firm.

SFG Retirement: Displays firms retiring from their simultaneous filing group, by date of retirement. Notices remain in the queue for 120 days.

Withdrawal or Termination: Displays the firm's withdrawal or termination notices by effective date. The screen includes the regulator reason and the effective date. Notices remain in the queue for 120 days.

Queue Totals: Displays a list of all of the queues, with the number of items in each.

Notices
▪ Current Deficiencies
▪ Branch Status
▪ Branch Deficiencies
▪ Disclosure Letters
▪ SFG Retirement
▪ Withdrawal or Termination
▪ Queue Totals