

**FINANCIAL INDUSTRY REGULATORY AUTHORITY**

**OFFICE OF HEARING OFFICERS**

Department of Enforcement,

Complainant,

v.

Success Trade Securities, Inc.  
(CRD No. 46027),

and

Fuad Ahmed (CRD No. 2404244),

Respondents.

DISCIPLINARY PROCEEDING  
No. 2012034211301

**TEMPORARY CEASE AND DESIST CONSENT ORDER**

Based upon the parties' agreement, the Hearing Panel issues this Temporary Cease and Desist Consent Order ("Order") pursuant to FINRA Rule 9840. It is understood and agreed by all of the undersigned parties that their agreement to this Order, and the Order itself, shall not be construed or interpreted in any manner, as to any of the parties hereto, as an admission or finding of liability, violative conduct, or impropriety. It is further understood and agreed that this agreement by the parties to this Order, and the Order itself, shall not be construed or interpreted as an indication or finding that any party is likely to prevail on the merits in the underlying disciplinary proceeding.

Accordingly, on this 11<sup>th</sup> day of April, 2013 at 6:15 p.m., it is hereby

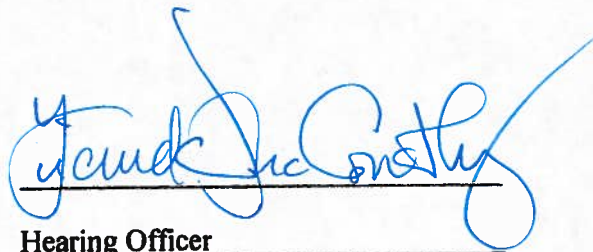
**ORDERED** that Respondents Fuad Ahmed and Success Trade Securities, Inc. and any person or entity acting on their behalf, without admitting or denying the allegations in the Complaint:

- A. **CEASE AND DESIST** from violating Section 10(b) of the Securities Exchange Act of 1934, Rule 10b-5 thereunder, and FINRA Rules 2020 and 2010;
- B. **CEASE AND DESIST** from selling or offering to sell, and from distributing or offering to distribute, any securities issued by: (1) Success Trade, Inc., including, but not limited to, any promissory notes issued by Success Trade, Inc.; (2) Success Trade Securities, Inc.; (3) any entity affiliated with Success Trade, Inc. or Success Trade Securities, Inc.; (4) any entity owned or controlled by Ahmed; and (5) any other entities or individuals through a private placement offering;
- C. **CEASE AND DESIST** from extending the terms of, or rolling over, existing promissory notes issued by Success Trade, Inc. or converting existing promissory notes issued by Success Trade, Inc. to any other type of security, including, but not limited to, preferred or common stock of Success Trade, Inc. or any other entity; and
- D. **CEASE AND DESIST** from dissipating or converting, or causing to be dissipated or converted, the funds or assets of Success Trade, Inc., Success Trade Securities, Inc., or any investors in promissory notes issued by Success Trade, Inc., including, but not limited to: (1) using or causing the use of any such funds or assets for the personal expenses of any person, including, but not limited to, Ahmed; (2) using or causing the use of any such funds or assets to be loaned to any person or entity, including, but not limited to, Ahmed; (3) using or causing the use of any such funds or assets for any purpose other than the ordinary, customary, and necessary business purposes of the entities where such funds or assets are maintained; and (4) using or causing the use of any such funds or assets in a manner contrary to the best interests of holders of promissory notes issued by Success Trade, Inc.

It is further **ORDERED** that the Respondents shall be subject to the provisions of Rule 9860 in the event that they violate any of the terms of this Order.

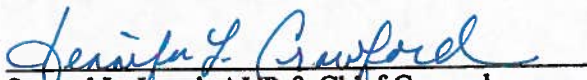
It is further **ORDERED** that this Order shall remain in full force and effect until the disposition of the underlying disciplinary proceeding.

This Order shall have the same force and effect as any order issued pursuant to FINRA Rule 9840, and Respondents hereby waive the right to challenge or contest the validity of this Order, or to appeal from this Order, in any forum.



Hearing Officer \_\_\_\_\_  
For the Hearing Panel

**AGREED TO AND APPROVED:**



Samuel L. Israel, AVP & Chief Counsel  
Perry C. Hubbard, Director  
Jennifer L. Crawford, Principal Counsel  
FINRA – Department of Enforcement  
15200 Omega Drive, Suite 300  
Rockville, MD 20850

Michael A. Gross, Esq.  
Senior Litigation Counsel  
Authorized House Counsel  
Member of Ohio Bar Only  
FINRA – Department of Enforcement  
Crystal Corporate Center  
2500 N. Military Trail, Suite 302  
Boca Raton, FL 33431-6324

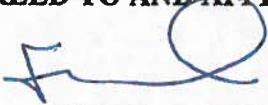
*FINRA – Department of Enforcement*

**AGREED TO AND APPROVED:**



\_\_\_\_\_  
Fuad Ahmed

**AGREED TO AND APPROVED:**



\_\_\_\_\_  
Success Trade Securities, Inc.

By: Fuad Ahmed

Its: PRESIDENTS