

I have held securities registrations since 1984.

I was the target of a former client accusation that I failed to disclose all pertinent information regarding an investment option she was considering. The claim was dismissed without action.

Yet, I have a record of that claim of "unscrupulous" behavior in BrokerCheck forever. No, that is wrong. There should be a method where upon investigation of a claim and the claim found to be without merit that the broker, such as I, won't have a black eye for the remainder of their careers. Careers that could be shortened because of the heightened promotion of using BrokerCheck, which is fine, IF BrokerCheck only contained claims found to be valid and action taken against the broker. Weed out the crooks. Of course. But I'll never know how many referred potential clients never hired me because they were told to look me up on BrokerCheck and found the claim. People don't understand that the claim was dismissed, what that meant.

I had been told that I could not expunge my record on BrokerCheck. Recently I learned I could. Now I'm learning that FINRA wants to take that away. NO. I want to clear my record because I have conducted my business ethically and by the book.

Thank you,
Donna Burrill