

This letter is to express my frustration with the effort to eliminate (or make ridiculously expensive) the ability of people in our industry to remove events from our record that may be totally wrong. I have learned that when changing firms in this industry the Broker Dealer holds all of the cards. They can literally say anything they want and we are helpless, at a difficult time in our career to immediately battle with firms that have huge budgets. On the surface, this appears to favor the big entrenched firms and make it close to impossible for those who are actually meeting with and servicing average Americans every single day. I would appreciate a response to my concerns. Thanks, Dave.

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