



## FINRA Securities Helpline for Seniors®



A toll-free number that senior investors can call to get assistance from the Financial Industry Regulatory Authority (FINRA) or raise concerns about issues with brokerage accounts and investments.

**Call 844-57-HELPS (844-574-3577)**

**Monday – Friday**

**9 a.m. – 5 p.m. Eastern Time**

Are you a senior investor with questions about your brokerage account statement or an investment in a brokerage account? Are you concerned that your account may have been mishandled by a broker? To help you with these and other investment related questions, FINRA provides the Securities Helpline for Seniors.

## Why Should You Call?

- To better understand how to review your investment portfolio or account statements;
- To raise concerns about the handling of a brokerage account; and
- To get information about investor tools and resources from FINRA, including BrokerCheck

## Who Should Call?

- Seniors who have questions or concerns about their investment accounts.

## What Can You Expect?

- During business hours, a FINRA staff member will answer your call and address your questions.
- If your concerns are not resolved during that call, he or she will call you back or refer you to another regulator or agency for help.

**To learn more, call  
844-57-HELPS (844-574-3577)  
Monday – Friday  
between 9 a.m. and 5 p.m. ET  
or visit [www.finra.org/seniorhelpline](http://www.finra.org/seniorhelpline).**

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FINRA is dedicated to investor protection and market integrity. It regulates one critical part of the securities industry— brokerage firms doing business with the public in the United States. FINRA, overseen by the SEC, writes rules, examines for and enforces compliance with FINRA rules and federal securities laws, registers broker-dealer personnel and offers them education and training, and informs the investing public. In addition, FINRA provides surveillance and other regulatory services for equities and options markets, as well as trade reporting and other industry utilities. FINRA also administers a dispute resolution forum for investors and brokerage firms and their registered employees. For more information, visit [www.finra.org](http://www.finra.org).