

Wilton Scronce

101 Thornburg Drive Southeast
Conover, NC 28613

11/21/17

Marcia Asquith

RE: Non-Attorney Representation in FINRA Arbitration

I had the privilege of having Cold Spring Advisory represent me in arbitration. They were professional and thorough; my outcome was very satisfactory. I was pleased because I almost lost hope of getting any of my money back from my losses and I was very happy what Cold Spring Advisory did for me. I also do believe Cold Spring was very competent in representing my case to the arbitration panel, by being very diligent. I am certainly not the best record keeper in the world, Cold Spring was able to obtain all my records that were needed for my case. I am amazed how they could accomplish this difficult task because there were so many documents needed for this process, in the end they were able to win the case for me.

I had losses of over \$50,000, with the brokerage out of business and the individuals not registered at the time, Cold Spring was still able to get me very close to my total losses, completely unbelievable to me. I can't imagine an attorney that only knows the legality of my case would be able to accomplish the same



thing Cold Spring was able to do. Securities industry people is what I hired, whom know the ins and outs of this business, on top of savvy business decisions, to me was the key ingredients to my success.

I have some experience with attorneys throughout my business career, I find attorneys to be very expensive and my outcomes were not very good. In contrast, Cold Spring was affordable and very cost effective, no hidden fees, no tricks, just straight up honesty. I received the correct amount of money quickly with no run arounds. I feel I was very lucky to find Cold Spring off the internet, because to me, it was a shot in the dark at that time however, my outcome was a success.

Finally, I do not think FINRA should prohibit such firms like Cold Spring Advisory, especially for a small person like myself, I feel Cold Spring was the only firm that could help me because other attorney firms would not take my case because it was too small of a claim for them.

As for FINRA posting about NAR firms, questioners or checklist, I don't have any comment on that.

I can't speak for all NAR firms, but I would refer Cold Spring Advisory to anyone out there who needs help with getting money back from stock losses due to broker misconduct.

Warm Regards,



Wilton Scronce

