

The **Firm Queues for Organizations** provides the mechanism for Web CRD® to notify firms about current firm and branch deficiencies, registration withdrawal or termination, and firms retiring from their simultaneous filing group.

Access Web CRD

Web CRD can be accessed (2) ways:

1. FINRA Firm Gateway at → <https://firms.finra.org>, or
2. Directly at → <https://crd.finra.org>

Enter your **User ID** and **Password**.

If you access via FINRA Firm Gateway, click on the **Web CRD** tab.

Once you have accessed Web CRD, you may navigate through the system as needed.

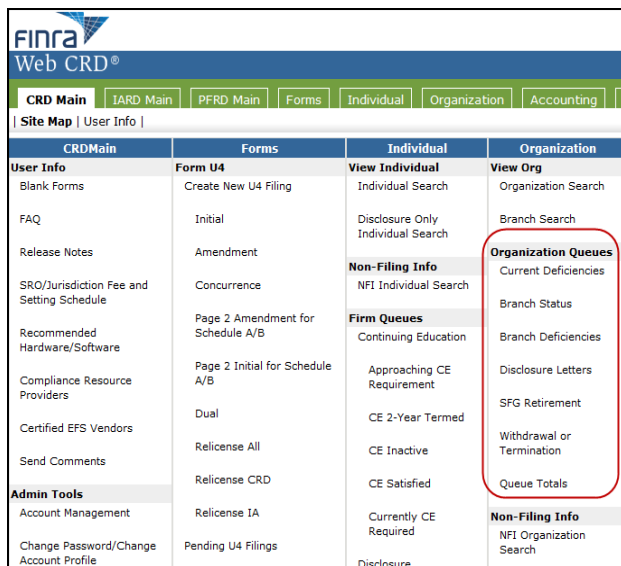
Accessing Firm Queues

There are two ways to access Firm Queues for Organizations in Web CRD:

1. From the **Organization** section of the Web CRD Site Map, click on the desired organization queue.

OR

1. Click the **Organization** tab from the toolbar.
2. Click **Organization Queues** from the sub-menu.



CRDMain	Forms	Individual	Organization
User Info	Form U4	View Individual	View Org
Blank Forms	Create New U4 Filing	Individual Search	Organization Search
FAQ	Initial	Disclosure Only Individual Search	Branch Search
Release Notes	Amendment	Non-Filing Info	Organization Queues
SRO/Jurisdiction Fee and Setting Schedule	Concurrence	NFI Individual Search	Current Deficiencies
Recommended Hardware/Software	Page 2 Amendment for Schedule A/B	Firm Queues	Branch Status
Compliance Resource Providers	Page 2 Initial for Schedule A/B	Continuing Education	Branch Deficiencies
Certified EFS Vendors	Dual	Approaching CE Requirement	Disclosure Letters
Send Comments	Relicense All	CE 2-Year Termed	SFG Retirement
Admin Tools	Relicense CRD	CE Inactive	Withdrawal or Termination
Account Management	Relicense IA	CE Satisfied	Queue Totals
Change Password/Change Account Profile	Pending U4 Filings	Currently CE Required	Non-Filing Info
		Disclosure	NFI Organization Search



FINRA Web CRD®				User:	Organization:	LOGOUT
IARD Main	CRD Main	Forms	Individual	Organization	Accounting	Reports
View Org Organization Queues Non-Filing Info Mass Transfer						

NOTE: The system will automatically default to the *Current Deficiencies* screen, where the Organization Queues can be accessed.

Questions on Web CRD? Call the FINRA Gateway Call Center at 301-869-6699
8 A.M. - 8 P.M., ET, Monday-Friday.

Description of Firm Queues

Current Deficiencies: Displays the firm’s current Form BD and BDW deficiencies by filing date. The number of days deficient is also displayed, with a link to obtain deficiency detail information. Notices remain in the queue until the deficiency is satisfied.

Branch Status: Lists all participating jurisdiction and SRO branch office statuses for a firm.

Branch Deficiencies: Displays any deficiencies for branch offices.

Disclosure Letters: Displays Organization Disclosure alerts sent to the firm.

SFG Retirement: Displays firms retiring from their simultaneous filing group, by date of retirement. Notices remain in the queue for 120 days.

Withdrawal or Termination: Displays the firm’s withdrawal or termination notices by effective date. The screen includes the regulator reason and the effective date. Notices remain in the queue for 120 days.

Queue Totals: Displays a list of all of the queues, with the number of items in each.

Notices
▪ Current Deficiencies
▪ Branch Status
▪ Branch Deficiencies
▪ Disclosure Letters
▪ SFG Retirement
▪ Withdrawal or Termination
▪ Queue Totals